

UNITED STATES OF AMERICA
before the
COMMODITY FUTURES TRADING COMMISSION

In the Matter of:)	
Whistleblower Award Application of Applicant)	CFTC Whistleblower Award
– Form WB-APP)	Determination No. 16-WB-04

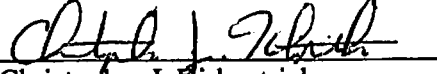
ORDER

Attached is the Commodity Futures Trading Commission Determination pertaining to the whistleblower award application of Applicant (“Applicant”), filed on Form WB-APP, referenced above.

Pursuant to the Commission’s Whistleblower Rules (17 C.F.R. Part 165 (2015)), the Whistleblower Award Determination Panel is authorized to make whistleblower award determinations for the Commission. Therefore, as set forth in the attached, it is the Determination and Final Order of the Commission that this whistleblower award claim be denied.

In accordance with 7 U.S.C. § 26(f) (2012) and 17 C.F.R. § 165.13 (2015), if you wish to appeal this Final Commission Determination and Order, you must appeal to the appropriate court of appeals of the United States not more than 30 days after this Final Order of the Commission is issued.

Decision Issued: February 23, 2016


Christopher J. Kirkpatrick
Secretary of the Commission
Commodity Futures Trading Commission
1155 21st Street, N.W.
Washington, DC 20581

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- Form)	CFTC Whistleblower Award Determination No. 16-WB-04

WHISTLEBLOWER AWARD DETERMINATION

Before the Whistleblower Award Determination Panel of the Commodity Futures Trading Commission (“Commission”) is the whistleblower award claim of Applicant (“Applicant”) filed on Form WB-APP , referenced above.

IT IS HEREBY DETERMINED that:

Applicant’s award application is denied because the order that was the subject of Applicant’s Form WB-APP was neither a covered judicial or administrative action nor a successful enforcement of a related action, as required by Rule 165.5(a)(3) of the Commission’s Whistleblower Rules (17 C.F.R. §§ 165.2(e), 165.2(m), 165.5(a)(3)).

Dated: February 19, 2016

Whistleblower Award Determination Panel
Commodity Futures Trading Commission
1155 21st Street, N.W.
Washington, D.C. 20581